

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	) Examiner: Vogel, Nancy S.
	)
Kevin P. BAKER, et al.	) Art Unit: 1636
	)
Application Serial No. 10/006,856	) Confirmation No: 8365
	)
Filed: December 6, 2001	) Attorney's Docket No. 39780-2830 P1C14
	)
For: <b>SECRETED AND TRANSMEMBRANE</b>	) <b>Customer No. 35489</b>
<b>POLYPEPTIDES AND NUCLEIC ACIDS</b>	)
<b>ENCODING THE SAME</b>	)
	)

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

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Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If Form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with M.P.E.P. §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

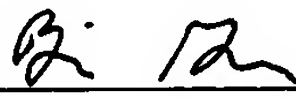
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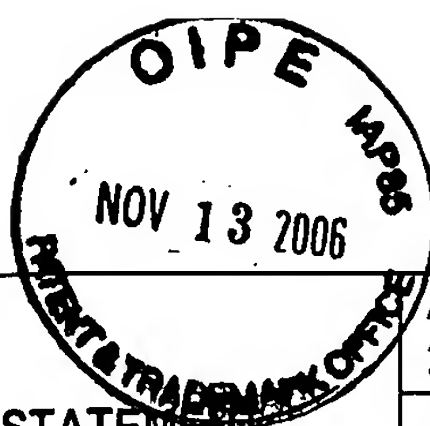
- ☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
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- ☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; or
- ☒ a fee of **\$180.00** as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of **\$180.00** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. **08-1641 (Attorney's Docket No. 39780-2830 P1C14).**

Respectfully submitted,

Dated: November 13, 2006

By:   
Barrie D. Greene (Reg. No. 46,740)

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Form PTO-1449 <b>INFORMATION DISCLOSURE STATEMENT</b>  (use several sheets if necessary)	Attorney's Docket No. 39780-2830 P1C14	Application Serial No. 10/006,856
	Applicant(s) Kevin P. BAKER, et al.	
	Filing Date: December 6, 2001	Group Art Unit: 1636

**U.S. PATENT DOCUMENTS**

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Translation	
							YES	NO

**OTHER DOCUMENTS**

(including author, title, date, pertinent pages, etc.)

Examiner Initials	Ref. No.	Title
	1.	Abe, N., et al., "An Increased High-Mobility Group A2 Expression Level is Associated with malignant Phenotype in Pancreatic Exocrine Tissue," <i>Br J Cancer</i> - 89(11):2104-9 (2003) Abstract.
	2.	Ando, M., et al., "Selective Apoptosis of Natural Killer-Cell Tumours by L-Asparaginase," <i>Br J Haematol.</i> , - 130(6):860-8 (2005) Abstract.
	3.	Aust, G., et al., "Human Thyroid Carcinoma Cell Lines and Normal Thyrocytes: Expression and Regulation of Matrix Metalloproteinase Inhibitor-1 Messenger-RNA and Protein," <i>Thyroid</i> - 7(5):713-24 (1997) Abstract.
	4.	Barnes, V.L., et al., "Expression of Embryonic Fibronectin Isoform EIIIA Parallels Alpha-Smooth Muscle Actin in Maturing and Diseased Kidney," <i>J Histochem Cytochem.</i> - 47(6):787-98 (1999) Abstract.
	5.	Bea, S., et al., "BMI-1 Gene Amplification and Overexpression in Hematological Malignancies Occur Mainly in Mantle Cell Lymphomas," <i>Cancer Res.</i> - 61(6):2409-12 (2001) Abstract.
	6.	Blaschke, V., et al., "Rapid Quantitation of Proinflammatory and Chemoattractant Cytokine Expression in Small Tissue Samples and Monocyte-Derived Dendritic Cells: Validation of a New Real-Time RT-PCR Technology," <i>J Immunol Methods.</i> - 246(1-2):79-90 (2000) Abstract.
	7.	Buckley, A.R., et al., "Butyrate-Induced Reversal of Dexamethasone Resistance in Autonomouse Rat Nb2 Lymphoma Cells," <i>Apoptosis.</i> - 2(6):518-28 (1997) Abstract.
	8.	Caberlotto, L. et al., "Alterations in Neuropeptide Y Levels and Y1 Binding Sites in the Flinders Sensitive Line Rats, A Genetic Animal Model of Depression," <i>Neurosci Lett.</i> - 265(3):191-4 (1999) Abstract.
	9.	Caberlotto, L., et al., "Neurokinin 1 Receptor and Relative Abundance of the Short and Long Isoforms in the Human Brain," <i>Eur J Neurosci.</i> - 17(9):1736-46 (2003) Abstract.
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	13.	De Boer, C.J., et al., "Involvement of the CCND1 Gene in Hairy Cell Leukemia," <i>Ann Oncol.</i> - 7(3):251-6 (1996) Abstract.
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	15.	Dong, Z., et al., "Expression of Membrane-Type Matrix Metalloproteinases 4, 5, and 6 in Mouse Corneas Infected with P. Aeruginosa," <i>Invest Ophthalmol Vis Sci</i> - 42(13):3223- (2001) Abstract.

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OTHER DOCUMENTS (including author, title, date, pertinent pages, etc.)			
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20.	Eleore, L., et al., "Modulation of the Glutamatergic Receptors (AMPA and NMDA) and of Glutamate Vesicular Transporter 2 in the Rat Facial Nucleus after Axotomy," <i>Neuroscience</i> - 136(1):147-60 (2005) Abstract.		
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25.	Furuta, J., et al., "Silencing of the Thrombomodulin Gene in Human Malignant Melanoma," <i>Melanoma Res.</i> - 15(1):15-20 (2005) Abstract.		
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28.	Giroux, M., et al., "Cyclooxygenase-2 Expression in Macrophages: Modulation by Protein Kinase C-alpha," <i>J Immunol.</i> - 165(7):3985-91 (2000) Abstract.		
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30.	Godbout, R., et al., "Overexpression of DEAD Box Protein (DDX1) in Neuroblastoma and Retinoblastoma Cell Lines," <i>J Biol Chem.</i> - 273(33):21161-8 (1998) Abstract.		
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32.	Golebiowski, F., et al., "Expression Level of Ubc9 Protein in Rat Tissues," <i>Acta Biochim Pol.</i> - 50(4):1065-73 (2003) Abstract.		
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34.	Grenback, E., et al., "Galanin Pituitary Adenomas," <i>Regul Pept.</i> - 117(2):127-39 (2004) Abstract.		
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36.	Guo, Y., et al., "The Pathogenic Role of Macrophage Migration Inhibitory Factor in Acute Respiratory Distress Syndrome," <i>Zhinhua Jie He He Hu Xi Za Zhi</i> - 25(6):337-40 (2002) Abstract.		

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37.	Habu, Y., et al., "Restored Expression and Activity of Organic Ion Transporters rOAT1, rOAT3 and rOCT2 after Hyperuricemia in the Rat Kidney," <i>Biochem Pharmacol</i> - 69(6):993-9 (2005) Abstract.		
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39.	Hahnel, R., et al., "Expression of the pS2 Gene in Breast Tissues Assessed by pS2-mRNA Analysis and pS2-Protein Radioimmunoassay," <i>Breast Cancer Res Treat.</i> - 24(1):71-4 (1992) Abstract.		
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41.	Hassett, C., et al., "Human Hepatic Microsomal Epoxide Hydrolase: Comparative Analysis of Polymorphic Expression," <i>Arch Biochem Biophys</i> - 337(2):275-83 (1997) Abstract.		
42.	Holten-Andersen, M.N., et al., "Localization of Tissue Inhibitor of Metalloproteinases 1 (TIMP-1) in Human Colorectal Adenoma and Adenocarcinoma," <i>Int J Cance</i> - 113(2):198-206 (2005) Abstract.		
43.	Huang, Y.H., et al., "Tissue Plasminogen Activator Induced by Dengue Virus Infection of Human Endothelial Cells," <i>J Med Virol</i> - 70(4):610-6 (2003) Abstract.		
44.	Huettnner, P.C., et al., "Neu Oncogene Expression in Ovarian Tumors: A Quantitative Study," <i>Mod Pathol</i> - 5(3):250-6 (1992) Abstract.		
45.	Hui, P., et al., "Real-time Quantitative RT-PCR of Cyclin D1 mRNA in Mantle Cell Lymphoma: Comparison with FISH and Immunohistochemistry," <i>Leuk Lymphoma</i> - 44(8):1385-94 (2003) Abstract.		
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47.	Ihmann, T., et al., "High-level mRNA Quantification of Proliferation Marker pKi-67 is Correlated with Favorable Prognosis in Colorectal Carcinoma," <i>J Cancer Res Clin Oncol</i> - 130(12):749-56 (2004) Abstract.		
48.	Ikegami, T., et al., "Modulation of Glucagon Receptor Expression and Response in Transfected Human Embryonic Kidney Cells," <i>Am J Physiol Cell Physiol</i> - 281(4):C1396-402 (2001) Abstract.		
49.	Jacquemin, E., et al., "Developmental Regulation of Acidic Fibroblast Growth Factor (aFGF) Expression in Bovine Retina," <i>Int J Biol</i> - 37(3):417-23 (1993) Abstract.		
50.	Jaime, M., et al., "The p21 (Cip1) Protein, A Cyclin Inhibitor, Regulates the Levels and the Intracellular Localization of CDC25A in Mice Regenerating Livers," <i>Hepatology</i> - 35(5):1063-71 (2002) Abstract.		
51.	Janssens, N., et al., "Alteration of Frizzled Expression in renal Cell Carcinoma," <i>Tumour Biol</i> - 25(4):161-71 (2004) Abstract.		
52.	Jungbluth, A.A., et al., "Immunohistochemical Analysis of NY-ESO-1 Antigen Expression in Normal and Malignant Human Tissues," <i>Int J. Cancer</i> - 92(6):856-60 (2001) Abstract.		
53.	Kalabis, G.M., et al., "Multidrug Resistance Phosphoglycoprotein (ABCB1) in the Mouse Placenta: Fetal Protection," <i>Biol Reprod</i> - 73(4):591-7 (2005) Abstract.		
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55.	Khal, J., et al., "Expression of the Ubiquitin-Proteasome Pathway and Muscle Loss in Experimental Cancer Cachexi," <i>Br J Cancer</i> - 93(7):774-80 (2005), Abstract.		
56.	Khal, J., et al., "Increased Expression of Proteasome Subunits in Skeletal Muscle of Cancer Patients with Weight Loss," <i>Int J. Biochem Cell Biol</i> - 37(10):2196-206 (2005) Abstract.		

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**OTHER DOCUMENTS**  
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57.	Kogo, H., et al., "Cell Type-Specific Occurrence of Caveolin-1alpha and -1beta in the Lung caused by Expression of Distinct mRNAs," <i>J Biol Chem.</i> - 279(24):25574-81 (2004) Abstract.
58.	Kommoss, F., et al., "Oncogene and Growth Factor Expression in Ovarian Cancer," <i>Acta Obstet Gynecol Scand Suppl.</i> - 155:19-24 (1992) Abstract.
59.	Kumar, U., et al., "Somatostatin Receptors in Primary Human Breast Cancer: Quantitative Analysis of mRNA for Subtypes 1-5 and Correlation with Receptor Protein Expression and Tumor Pathology," <i>Breast Cancer Res. Treat.</i> - 92(2):175-86 (2005) Abstract.
60.	Kuo, C.C., et al., "A Transcriptomic and Proteomic Analysis of the Effect of CpG-ODN on Human THP-1 Monocytic Leukemia Cells," <i>Proteomics</i> - 5(4):894-906 (2005) Abstract.
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62.	Lassmann, S., et al., "Quantification of CK20 Gene and Protein Expression in Colorectal Cancer by RT-PCR and Immunohistochemistry Reveals Inter- and Intratumour Heterogeneity," <i>J Pathol.</i> - 198(2):198-206 (2002) Abstract.
63.	Legrand, O., et al., "Expression of the Multidrug Resistance-Associated Protein (MRP) mRNA and Protein in Normal Peripheral Blood and Bone Marrow Haemopoietic Cells," <i>Br J, Haematol.</i> - 94(1):23-33 (1996) Abstract.
64.	Lemstrom, K.B., et al., "Vascular Endothelial Growth Factor Enhances Cardiac Allograft Arteriosclerosis," <i>Circulation</i> - 105(21):2524-30 (2002) Abstract.
65.	Li, Z.B., et al., "Enhanced Expressions of Arachidonic Acid-Sensitive Tandem-Pore Domain Potassium Channels in Rat Experimental Acute Cerebral Ischemia," <i>Biochem Biophys Res Commun.</i> - 327(4):1163-9 (2005) Abstract.
66.	Li, Y., et al., "Retinal Preconditioning and the Induction of Heat-Shock Protein 27," <i>Invest Ophthalmol Vis Sci.</i> - 44(3):1299-304 (2003) Abstract.
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68.	Macabeo-Ong, M., et al., "Effect of Duration of Fixation on Quantitative Reverse Transcription Polymerase Chain Reaction Analyses," 15(9):979-87 (2002) Abstract.
69.	Maruyama, H., et al., "Id-1 and Id-2 are Overexpressed in Pancreatic Cancer and in Dysplastic Lesions in Chronic Pancreatitis," <i>Am J Pathol.</i> - 155(3):815-22 (1999) Abstract.
70.	Meehan, T.P., et al., "Tightly Regulated and Inducible Expression of a Yoked Hormone-Receptor Complex in HEK 293 Cells," <i>J Mol Endocrinol.</i> - 32(1):247-55 (2004) Abstract.
71.	Mendoza-Rodriguez, C.A., et al., "C-fos and Estrogen Receptor Gene Expression Pattern in the Rat Uterine Epithelium During the Estrous Cycle," <i>Mol Reprod Dev.</i> 64(4):379-88 (2003) Abstract.
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73.	Mezzano, S.A., et al., "Overexpression of Chemokines, Fibrogenic Cytokines, and Myofibroblasts in Human Membranous Nephropathy," <i>Kidney Int.</i> - 57(1):147-58 (2000) Abstract.
74.	Mingrone, G., et al., "Decreased Uncoupling Protein Expression and Intramyocytic Triglyceride Depletion in Formerly Obese Subjects," <i>Obes Res.</i> - 11(5):632-40 (2003) Abstract.
75.	Miralles, C.P., et al., "Differential Expression of the Short and Long Forms of the Gamma 2 Subunit of the GABAA/benzodiazepine Receptors," <i>Brain Res Mol Res.</i> - 24(1-4):129-39 (1994) Abstract.
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77.	Monaghan, P., et al., "The Alpha(v)beta6 Integrin Receptor for Foot-and-Mouth Disease Virus is Expressed Constitutively on the Epithelial Cells Targeted in Cattle," <i>J Gen Virol.</i> - 86(Pt 10):2769-80 (2005) Abstract.

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	78.	Montuori, N., et al., "Urokinase-Mediated Posttranscriptional Regulation of Urokinase-Receptor Expression in Non Small Cell Lung Carcinoma," <i>Int J Cancer</i> - 105(3):353-60 (2003) Abstract.	
	79.	Munaut, C., et al., "Vascular Endothelial Growth Factor Expression Correlates with Matrix Metalloproteinases MT1-MMP, MMP-2 and MMP-9 in Human Glioblastomas," <i>Int J Cancer</i> - 106(6):848-55 (2003) Abstract.	
	80.	Nie, Y., et al., "DNA Hypermethylation is a Mechanism for Loss of Expression of the HLA Class I Genes in Human Esophageal Squamous Cell Carcinomas," <i>Carcinogenesis</i> - 22(10):1615-23 (2001) Abstract.	
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